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52

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/696,736	10/25/2000	Tal Moran	3269-8	2270

29858 7590 03/23/2005

BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP  
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NEW YORK, NY 10022

EXAMINER

STULBERGER, CAS P

ART UNIT PAPER NUMBER

2132

DATE MAILED: 03/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/696,736	<b>Applicant(s)</b> MORAN ET AL.	
	<b>Examiner</b> Cas Stulberger	<b>Art Unit</b> 2132	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 24 September 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☐ Claim(s) 1-10, 16 and 17 is/are pending in the application.
- 4a) Of the above claim(s) 11-15 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10, 16 and 17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 October 2000 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>0724/01, 10/29/02</u> | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

1. This action is responsive to communications: application, filed 10/25/1999; response filed 09/24/2004.
2. Claims 1-10, and 16-17 are pending in the case. Claims 11-15 are non-elected without traverse. Claims 1 and 16 are independent claims.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:  
  
The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
4. Claim 1 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
5. Claim 1 is indefinite because it is unclear in the first limitation whether a first message and a second message is received or whether a first message is received which contains a set of actions and a second message.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an

international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

7. Claims 1-10, and 16-17 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S.

Patent No 6,289,462 B1 to McNabb et al.

8. In regards to claims 1, 2, 4-6, 8-9 and 16, McNabb discloses that when a user access a web site it can be configured to request a user to provide a user ID and an authentication response (McNabb: column 15, lines 54-58). This meets the limitation of a "first message including a set of actions." Once the user has been authenticated, subsequent requests can be identified as part of the session and communication to other restricted partitions can be allowed (McNabb: column 15, lines 58-61). This meets the limitation of "a second message including user-requested actions and inputs." McNabb discloses integrating simulation software into the system such that any processing routines may be replicated as required to achieve a desired process throughput. The browser-based administration tool can provide a representation of a user's process interactions and requests. The graphic representation may be frozen such that no other processes may be permitted (McNabb: column 21, lines 51-62). This meets the limitation of "simulating execution of the set of actions and building a list of allowable actions and user-definable inputs to the allowable actions." The graphic model of the system is modified into a linked list form that may be used by the UDE and the security gate to control process activities (McNabb: column 21, lines 62-65). This meets the limitations of "comparing the list of allowable actions and user-definable inputs to the user-requested actions and inputs; and where the list of allowable actions and user-definable inputs includes the user-requested actions and inputs, authorizing execution of the user-requested actions." When a process executes a file, the trusted

Art Unit: 2132

server interrogates both the process and file prior to execution (McNabb: column 14, lines 56-58).

9. In regards to claim 3, McNabb discloses HTTP daemons and CGI scripts (McNabb: column 20, lines 48-59).

10. In regards to claims 7 and 10, McNabb discloses a cookie which specifies know user information recorded during a prior access by the user. The cookie is checked for validity when network requests are processed (McNabb: column 13, lines 49-55).

11. In regards to claim 17, the security system is a solution for any gateway system.(McNabb: column 22, lines 3-5).

### ***Conclusion***

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Patent No. 5,940,590 to Lynne et al.

U.S. Patent No. 5,908,469 to Botz et al.

U.S. Patent No. 6,202,199 B1 to Wygodny et al.

U.S. Patent No. 5,347,578 to Duxbury.

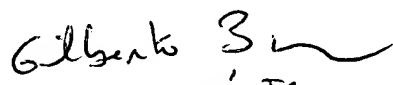
Art Unit: 2132

13. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cas Stulberger whose telephone number is (571) 272-3810. The examiner can normally be reached on Monday - Friday, 9:00A.M. - 6:00P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571) 272-3810. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CS

  
GILBERTO BARRÓN JR.  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100